



BUSINESS CONTINUTIY PLAN

The purpose of the Business Continuity Plan (BCP) Summary Disclosure Statement is to inform our clients that Integrity Investments, Inc. (Integrity) has a Business Continuity Plan with detailed policies and procedures that we will employ in the event of a Significant Business Disruption (SBD).

SBD falls into two categories: internal and external. Internal SBD events are those that affect a firm's ability to communicate and do business, such as a flood or fire. External SBD events are those that interrupt securities markets or widespread firm operations, such as a terrorist attack or a regional disruption. In the event of either an internal or external SBD, Integrity maintains an offsite backup of electronic media in order to continue our operations.

Should the nature of the SBD event be such that our building is unavailable, Integrity has the ability to relocate our operations to a remote location. Currently, Integrity maintains offsite core electronic components in a ready state with the ability to recover business operations at a remote site should an SBD occur. The BCP outlines the reasonable measures that Integrity would take to continue our business in the event of an SBD. These measures are designed to:

- Safeguard employee lives and firm property
- Launch telecommunications
- Establish financial and operational assessments
- Efficiently recover and resume operations
- Protect all of the firm's books and records
- Allow clients to transact business

With regard to client assets, all securities are held within custodian banks, rather than in physical certificates. Ownership of the securities is reflected on a book-entry record-keeping basis with our custodian banks participants (such as The Bank of New York), maintaining on their records the beneficial ownership positions of their customers. This structure is recognized worldwide as providing investors with an unsurpassed level of liquidity and security for the assets they choose to custody with major financial institutions.

In the event that we are unable to continue our business, we will take steps toward providing clients prompt access to their assets. This BCP Summary is subject to modifications, which we will promptly post to our Web site at www.integrityinvestmentsinc.com. Clients also may receive updated summaries by sending a written request to:

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Integrity Investments, Inc. is a member FINRA/SIPC